

# **Progress Report on SMS and Audit Section**

# Current Status on SMS and Audit Section

- In the SMS and Audit Section, all texts related to Phase 1 and Phase 2 (provisions based on the FRAV/VMAD integrated document) were approved at the Seoul meeting.
- Currently, Phase 3 has begun, which is new proposals that are not in the FRAV/VMAD integrated document. Open items were collected.
- After the Netherlands meeting, due to limited time, we collected input from SMS and Audit members **via email**.

## Providing safety relevant information to relevant parties (Open Item List #5)

6.1.6.2. The manufacturer shall put in place suitable arrangements (e.g., contractual arrangements, clear interfaces, quality management system) with any organization involved in the development, manufacturing, or in-use deployment of its vehicles (e.g., contracted suppliers, service providers, or manufacturers' sub-organizations) The manufacturer shall document its processes and activities, including the following aspects:

(a) Organizational policy for supply chain

(b) Incorporation of risks originating from supply chain

(c) Evaluation of supplier SMS capability and corresponding audits

(d) Processes to establish contracts, agreements for ensuring safety across the phases of development, production, and post-production

(e) Processes for distributed safety activities.

(f) The manufacturer shall have processes for providing safety-relevant information to relevant parties as needed, enabling them to meet their legal obligations.

### ➤ Justification

- This proposal is to ensure that the manufacturer provides the necessary data for ensuring the fulfilment of their reporting obligations under applicable law.
- It is possible that ADS operators have reporting obligations in some counties/jurisdictions.
- However, the fulfilment of such obligations is dependent on data from the Manufacturer.
- Therefore, this requirement serves to ensure that the SMS also take into account the needs of other involved parties.

## SAE Proposal (ADS-07-03 6.1.1.1.)

SAE proposed the following revision in red letter :

---

**6.1.1.1.** The safety policy shall outline the aims and objectives that the manufacturer uses to achieve the desired safety outcomes **with regard to any and all ADSs it manufactures and may apply to other products of the manufacturer as well.**

### ➤ Justification

- It's important to make clear that there is not a different SMS for each ADS.

## Review of SMS requirements and audit for inconsistencies (Open Item List #8)

- Further discussion is still needed in a **small group meeting**, and we plan to **continue the discussion after the Tokyo meeting** as well.