

## Comments on ACSF-24-03r1

**Proposal for Technical Requirements for an  
Automated Lane Keeping System**

(based on ACSF-23-02r4)

- 2.1 Scope
- 2.1.1. This Regulation applies to vehicles of categories M<sub>1</sub>.
- 2.2. Definitions
- 2.2.0. “*Automated Lane Keeping System (ALKS)*” for low speed application is a system which is activated by the driver and which keeps the vehicle within its lane by influencing the lateral movement of the vehicle and controls the longitudinal movement of the vehicle for extended periods without further driver command.
- Within this Regulation ALKS is also referred to as “*the system*”.
- 2.2.1. “*Transition demand*” is a logical and intuitive procedure to transfer the dynamic driving task from automated control by the system to human driver control. This request given from the system to the human driver indicates the transition phase.
- 2.2.2. “*Transition phase*” means the duration of the transition demand.
- 2.2.5. “*Imminent collision risk*” describes a situation or an event which leads to a collision of the vehicle with another road user or an obstacle unless an emergency manoeuvre is executed.
- 2.2.6. “*Minimum risk manoeuvre*” means a manoeuvre performed by the system after the end of a transition phase as risk mitigation strategy in case the human driver has not resumed manual control of the vehicle.
- 2.2.7. “*Emergency Manoeuvre*” is a manoeuvre performed by the system in case of an event in which the vehicle is at imminent collision risk with the purpose of avoiding or mitigating a collision.
- 2.2.8. Speed
- 2.2.8.1. “*Specified maximum speed*” is the speed declared by the manufacturer up to which the system operates under optimum conditions.
- 2.2.8.2. “*Maximum operational speed*” is the speed selected by the system up to which the system operates under current environmental and sensor conditions. It is the maximum vehicle speed at which the system may be active and shall be determined by the capability of the sensing system as well as the environmental conditions.
- 2.2.8.3. “*Present speed*” or “*speed*” is the current speed selected by the system due to traffic.
- 2.2.9. “*Detection range*” of the sensing system is the distance at which the system can reliably recognise a target and generate a control signal.

- 2.2.10. Failures
- 2.2.10.1. An “*ALKS failure*” is any single failure specific to the operation of the ALKS (e.g. single sensor failure, loss of necessary calculation data for the driving path of the vehicle).
- 2.2.10.2. “*Failure mode*” is the operation status of the system in which the system operates with an ALKS failure.
- 2.2.10.3. A “*severe ALKS failure*” is a failure specific to the operation of the ALKS that affects the safe operation of the system when in failure mode with an acceptable occurrence. Single sensor failures are only considered as such when accompanied by another influence affecting the safe operation of the system.
- 2.2.10.4. A “*severe vehicle failure*” is any failure of the vehicle (e.g. electrical, mechanical) that affects the dynamic driving task and would also leave the manually driven vehicle in a state unfit to drive (e.g. loss of power supply, failure of the braking system, sudden loss of tire pressure).
- 2.2.11. “*Self-check*” means an integrated function which checks for any system failure and for a change in detection range of the sensing system on a continuous basis.
- 2.2.12. “*Override*” means an action of the human driver providing intentional input to control elements of the vehicle which have priority over the longitudinal or lateral movement of the vehicle, while the system is still active.
- 2.2.13. “*Dynamic Driving task*” is the control and execution of all longitudinal and lateral movements of the vehicle.
- 2.2.14. “*Data Storage System for Automated Driving (DSSAD)*” enables the determination of interactions between the ALKS and the human driver.<sup>1</sup>
- 2.3. General Requirements
- The fulfilment of the provisions of this paragraph shall be demonstrated by the manufacturer to the technical service during the inspection of the safety approach as part of the assessment to Annex Y [CEL] and according to the relevant tests in Annex X.
- 2.3.1. The activated system shall cope with all dynamic driving tasks and situations including failures and shall not endanger the safety of the vehicle occupants and all other road users.
- 2.3.2. The activated system shall comply with traffic rules in the country of operation and as appropriate in the current situation.
- 2.3.3. The system shall have the capability to detect and store failures affecting the safe operation or the functionality of the ALKS and implement safe strategies until the detection is completed.
- The occurrence of a severe ALKS failure is deemed acceptable if it is comparable to similar failures in other well-established and well trusted safety systems (e.g. braking or steering systems).

<sup>1</sup> To be revised in accordance with IWG EDR/DSSAD.

2.3.4. The activated system shall maximize driver controllability (e.g. wipers on in case of rain, headlamps on in case of darkness) in the way of safety due to the fact that system and driver have not the same needs to assure a safe driving.

2.3.5. If determined necessary by the activated system, it shall issue a transition demand with sufficient lead time and not endanger the safety of vehicle occupants and all other road users.

Types of situations in which the vehicle will generate a transition demand to the driver shall be declared by the vehicle manufacturer and included in the documentation package required in Annex [Y] [CEL].

2.3.6. The system shall continuously perform a self-check (e.g. after ignition the system has at least once detected an object at the same or a higher distance than that declared as detection range according to paragraph 2.5.6.2.).

2.3.7. The effectiveness of the system shall not be adversely affected by magnetic or electrical fields. This shall be demonstrated by compliance with Regulation No. 10, 05 Series of amendments to the Regulation.

2.3.8. Conformity with the safety aspects of electronic control systems shall be shown by meeting the requirements of Annex [Y] [CEL].

#### 2.4. Activation, Deactivation and Driver Input

The fulfilment of the provisions of this paragraph shall be demonstrated by the manufacturer to the technical service during the inspection of the safety approach as part of the assessment to Annex Y [CEL] and according to the relevant tests in Annex X.

2.4.1. The vehicle shall be equipped with dedicated means for the driver to activate (active mode) and deactivate (off mode) the system.

2.4.2. The default status of the system shall be the off mode at the initiation of each new engine start/run cycle.

This requirement does not apply when a new engine start/run cycle is performed automatically, e.g. by the operation of a stop/start system.

2.4.3. The system shall become active only upon a deliberate action by the driver and if all the following conditions are met:

- The driver is in the driver seat and the driver's safety belt is fastened according to paragraph 2.6.,
- the driver is available to take over control of the dynamic driving task according to paragraph 2.6.,
- no failure affecting the safe operation or the functionality of the ALKS is present,
- DSSAD is operational,
- the environmental and infrastructural conditions allow the operation,
- positive confirmation of system self-check and
- the vehicle is on roads where pedestrians and cyclists are prohibited and which, by design, are equipped with a physical separation that divides the traffic moving in opposite directions

**Commented [KH1]:** Some of these types of situations are known and if specified can reduce the risk of a system excluding these. Thus, it is recommended that safety critical situations are specified in the regulation as a minimum requirement.

Please refer to Section 3.1 Types of situations in the corresponding document for a more detailed explanation

#### 2.4.4. Manual Deactivation

It shall be possible to manually deactivate (off-mode) the system by an intentional action of the driver using the same means as to activate the system, as mentioned in paragraph 2.4.1.

The means of deactivating shall provide protection against unintentional manual deactivation for example by requiring a single input exceeding a certain threshold of time or a double press, or two separate but simultaneous inputs.

Additionally, it shall be ensured the driver is in lateral control of the vehicle at the time of the deactivation, by e.g. placing the deactivation means on the steering control or confirming the driver is holding the steering control.

#### 2.4.5. Automatic Deactivation

The system shall not be automatically deactivated by any driver input other than those described below in paragraphs 2.4.5.1 and 2.4.5.2.

##### 2.4.5.1. Deactivation by input to driving controls

The system shall be deactivated automatically when at least one of the following conditions is met:

- The driver overrides the system by steering while holding the steering control and this override is not suppressed, as specified in paragraph 2.4.8 or
- the driver is holding the steering control and overrides the system by braking or accelerating, as specified in paragraph 2.4.8.

##### 2.4.5.2. Deactivation during an ongoing transition demand

In case a transition demand is on-going, the system shall only be deactivated automatically

- as defined in paragraph 2.4.5.1. or
- upon detection that the driver has taken hold of the steering control as a response to the transition demand and provided the system confirms the driver is attentive as defined in paragraph 2.6.3.

##### 2.4.5.3. Deactivation during an ongoing emergency manoeuvre

In case of an ongoing emergency manoeuvre, the deactivation of the system may be delayed until the collision risk disappeared.

##### 2.4.5.4. Deactivation in case of a severe vehicle failure or a severe ALKS failure

It is recognized that in case of a severe vehicle failure or a severe ALKS failure the ALKS may employ different strategies with regard to deactivation. These different strategies shall be declared by the manufacturer and their efficiency shall be assessed by the Technical Service with regard to ensuring a safe transition of control from the system to the human driver.

#### 2.4.6. When deactivated (off mode) the system shall not provide any continuous control of either longitudinal or lateral movement of the vehicle.

After deactivation, Corrective Steering Function (CSF) may be active with the aim at accustoming the driver to execute the lateral control task by gradually reducing lateral support.

Notwithstanding both paragraphs above, any other safety system delivering longitudinal or lateral support in accident-prone situations (e.g. Advanced Emergency Braking System (AEBS), Electronic Stability Control (ESC), Brake Assist System (BAS), Emergency Steering Function (ESF)) shall not be deactivated in case of deactivation of ALKS.

- 2.4.7. Any deactivation shall be indicated to the driver as defined in paragraph 2.8.1.1.
- 2.4.8. System override
- 2.4.8.1. A driver input to the steering control shall override the lateral control function of the system when the input exceeds a reasonable threshold designed to prevent unintentional override.

This threshold shall include a specified force and duration and shall vary depending on parameters that include criteria used for driver attentiveness. These thresholds shall be declared to the Technical Service during the assessment according to Annex X [CEL].
- 2.4.8.2. A driver input to the braking control resulting in a higher deceleration than that induced by the system or maintaining the vehicle in standstill by any braking system, shall override the longitudinal control function of the system.
- 2.4.8.3. A driver input to the accelerator control may override the longitudinal control function of the system if the driver simultaneously has his hands on the steering wheel. However, such an input shall not cause the system to no longer meet the requirements of this Regulation.
- 2.4.8.4. Notwithstanding the provisions laid down in paragraphs 2.4.8.1. to 2.4.8.3., the effect of the driver input on any control may be reduced or suppressed by the system in case the system has detected an imminent collision risk due to this driver input.
- 2.4.8.5. Any system override due to driver input to the accelerator or brake control shall immediately initiate a transition demand as specified in paragraph 2.7.
- 2.4.8.6. It is recognized that in case of a severe vehicle failure or a severe ALKS failure the ALKS may employ different strategies with regard to system override. These different strategies shall be declared by the manufacturer and their efficiency shall be assessed by the Technical Service with regard to ensuring a safe transition of control from the system to the human driver.
- 2.4.8.7. The fulfilment of the provisions in paragraph 2.4 and its subparagraphs shall be demonstrated by the manufacturer to the technical service during the inspection of the safety approach as part of the assessment to Annex Y [CEL].
- 2.5. Dynamic Driving Task, and Sensing Capabilities
- 2.5.1. The activated system shall keep the vehicle inside its lane of travel and ensure that the vehicle does not cross any lane marking. The system shall aim to keep the vehicle in a stable lateral position inside the lane of travel to avoid confusing other road users.
- 2.5.2. The activated system shall detect a vehicle driving beside and if necessary adjust speed and/or the lateral position of the vehicle within its lane as appropriate.
- 2.5.3. The activated system shall control the speed of the vehicle.

2.5.3.1. The activated system shall adapt the vehicle speed to infrastructural and environmental conditions (e.g. narrow curve radii, inclement weather).

2.5.3.2. The activated system shall detect the distance to another road user in front located within the operating range as defined in paragraph 2.5.6.

While the ALKS vehicle is not at standstill, the system shall adapt the speed to adjust the distance to a vehicle in front in the same lane to be equal or greater than the minimum following distance.

In case of a lead vehicle decelerating or cutting in, there shall not be an appreciable time interval between the detection of a following distance below the required minimum distance and the start of the adjustment process.

The minimum following distance shall be calculated using the formula:

$$d_{\min} = V_{\text{ALKS}} * t_{\text{front}}$$

Where:

- $d_{\min}$  = the minimum following distance
- $V_{\text{ALKS}}$  = the present speed of the ALKS vehicle in m/s;
- $t_{\text{front}}$  = minimum time gap in seconds between the ALKS vehicle and a leading vehicle in front as per the table below:

Present travel speed of the ALKS vehicle is greater than ...		Minimum time gap in seconds
km/h	m/s	s
10	2,78	1,1
20	5,56	1,2
30	8,33	1,3
40	11,11	1,4
50	13,89	1,5
60	16,67	1,6

For speed values not mentioned in the table, linear interpolation shall be applied.

Notwithstanding the result of the formula above for present speeds below 2 m/s the minimum following distance shall never be less than 2 m.

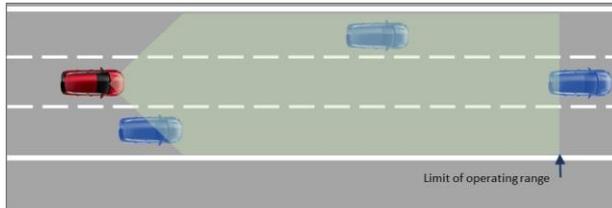
2.5.4. The activated system shall be able to bring the vehicle to a complete stop behind a stationary vehicle or road user blocking its lane of travel. This shall be ensured up to the maximum operational speed of the system, as defined in paragraph 2.5.7.

2.5.5. The activated system shall detect the risk of an imminent collision with another road user ahead or beside the vehicle, due to a decelerating lead vehicle, a cutting in vehicle or a suddenly appearing obstacle after a lane change of a leading vehicle and shall automatically perform an appropriate collision avoidance manoeuvre.

2.5.6. Sensing system

The ALKS vehicle shall be equipped with a sensing system such that it can determine the driving environment (e.g. road geometry ahead, lane markings)

and the traffic dynamics across its own traffic lane, the traffic lane immediately to its left and to its right up to the limit of the operating range.



Schematics of the Sensing System of the ALKS

2.5.6.1. Detection range of the sensing system to the front

The detection range of the sensing system shall be declared by the vehicle manufacturer and shall be at least 46 meters measured from the forward most point of the ALKS vehicle.

The Technical Service shall verify the distance at which the vehicle sensing system detects a leading vehicle during the relevant test in Annex [X], using a two-wheeled motor vehicle of category L3 as the vehicle in front and with a standardised pedestrian target. [The target used for the pedestrian detection test shall be a adult “articulated soft target” and be representative of the human attributes applicable to the sensor system of the ALKS under test according to ISO 19206-2:2018]<sup>2</sup>.

The measured value shall be equal to or higher than the declared value.

2.5.6.2. The ALKS shall implement strategies to detect and cope with environmental and technical conditions which might reduce the detection range of the sensing system, e.g. prevent enabling the system, disabling the system and transferring the control back to the driver, reducing the speed when visibility is too low.

These strategies shall be described by the vehicle manufacturer and assessed according to Annex Y (CEL).

2.5.6.3. The vehicle manufacturer shall provide evidence about how the effect of wear and/or ageing influences the performance of the sensing system over lifetime.

2.5.7. Speed

2.5.7.1. Specified maximum speed

The specified maximum speed up to which the system is permitted to operate shall be calculated with the formula below:

$$V_{max-ALKS} = -a_{ALKS} * t_{system} + \sqrt{(a_{ALKS} * t_{system})^2 + 2a_{ALKS} * D_{range}}$$

Where:

$V_{max-ALKS}$  = Specified maximum speed of the system

$a_{ALKS}$  = 3.7 m/s<sup>2</sup> = feasible deceleration under wet conditions (unless a higher value is declared by the manufacturer and verified during

<sup>2</sup> Text in square brackets could be moved to test section accordingly.

type approval to the satisfaction of and in agreement with the technical service.)

$t_{\text{system}}$  = System delay of 0.5s until deceleration level is reached

$D_{\text{range}}$  = Detection range in m determined according to paragraph 2.5.6.1.

The manufacturer shall declare the speed up to which the system will operate. This declared speed shall be less or equal to the value calculated by the formula above.

Notwithstanding the result of the formula above the specified maximum speed the manufacturer might declare and the system is permitted to operate is limited to 60 km/h.

#### 2.5.7.2. Other speeds

The maximum operational speed shall not exceed the maximum specified speed.

The present speed shall not exceed the maximum operational speed and shall not contradict traffic law.

#### 2.5.8. The fulfilment of the provisions of paragraph 2.5. and its subparagraphs shall be demonstrated to the technical service and tested according to the relevant tests in Annex [X].

It is recognised that the fulfilment of the requirement in paragraph 2.5.5. may not be fully achieved in other conditions than those for the tests in Annex [X]. However, the system shall not deactivate or unreasonably switch the control strategy in these other conditions. This shall be demonstrated in accordance with Annex Y [CEL] of this Regulation.

#### 2.6. Driver Availability Recognition System

The fulfilment of the provisions of this paragraph shall be demonstrated by the manufacturer to the technical service during the inspection of the safety approach as part of the assessment to Annex Y [CEL] and according to the relevant tests in Annex X.

The system shall comprise a driver availability recognition system.

The driver availability recognition system shall detect that the driver is present in the driver seat, the safety belt of the driver is fastened and that the driver is available to take over the driving task.

#### 2.6.1. Driver presence

A transition demand shall be initiated according to paragraph 2.7. if one of the following conditions is met:

- When the driver is detected not to be in the seat for a period of more than 1 second or

**Commented [KH2]:** ALKS is not required to monitor sudden sickness or driver drowsiness, however these were two of the four requirements identified in the DAMS project for Level 3 systems: driver presence, driver drowsiness, driver attentiveness and sudden sickness.

Please refer to Section 1.1 Drowsiness and 3.2 Sudden sickness and driver drowsiness in the corresponding document for a more detailed explanation

**Commented [KH3]:** The text should include the word driver attentiveness

Please see Section 2.2.1 Driver Availability Recognition System definition in the corresponding document for an example.

- when the driver's safety belt is unbuckled.

The second level warning of the safety-belt reminder according to UN-R16 may be used instead of an acoustic warning of the Transition Demand.

#### 2.6.2. Driver availability

The system shall detect if the driver is available to take over the driving task by continuously monitoring the driver. The manufacturer shall declare to the technical service the vehicle's capability to detect that the driver is available to take over the driving task.

##### 2.6.2.1. Criteria for deeming driver availability

Driver availability shall be assessed based on [at least two] availability criteria (e.g. input to driver-exclusive vehicle control, eye blinking, eye closure or conscious head and body movement).

The driver shall be considered available by the system when:

The driver is present in the driving seat with safety belt fastened, and at least one of the following conditions are met:

- Input to any driver-exclusive vehicle controls during a rolling interval of 30 s,
- driver shows conscious head or body movements during a rolling interval of 35 s or
- driver's eyes have not been detected continuously closed for a rolling interval of 4 s.

The specification for confirming these or equally safe criteria must be declared by the manufacturer and supported by documented evidence. This shall be assessed by the technical service according to Annex Y (CEL).

As soon as the system has assessed the driver to no longer be available, the system shall provide a distinctive warning until appropriate actions of the driver are detected or until a transition demand is initiated.

Latest when the system does not detect appropriate actions from the driver during the distinctive warning for a period of more than 15 s a transition demand shall be initiated according to paragraph 2.7.

#### 2.6.3. Driver attentiveness

The system shall detect if the driver is attentive. The driver is deemed to be attentive when at least one of the following criteria is met:

- Driver gaze direction is confirmed as primarily looking at the road ahead,

**Commented [KH4]:** Summary: if a driver falls asleep, they are not in a position to drive the vehicle safely. As such the driver should not be handed back control of the vehicle. Instead, the driver should be handed back control before they reach a drowsiness level which is unsafe for driving. In order for this to occur, the driver level of drowsiness should be monitored instead of whether they are sleeping or not.

Please refer to Section 1.1 Drowsiness in the corresponding document for a detailed analysis and explanation

**Commented [KH5]:** Clarification: Which is the correct interpretation -

- The manufacturer is required to monitor at least two driver availability criteria and if at least one of these does not meet the minimum requirement for availability, the system shall alert the driver
- OR
- The manufacturer is required to monitor at least one of the minimum requirements for availability and, if the driver does not meet the minimum requirement for this measure, the system shall alert the driver

This corresponds to Section 4.1 Driver availability requirement in the corresponding document

**Commented [KH6]:** Appropriate actions need to be more defined and/or specified. For example: if appropriate action means the driver waking up and opening their eyes, then the driver can continuously have microsleeps whilst ALKS in activated.

Please refer to Section 3.3 Appropriate actions in the corresponding document for a more information.

- driver gaze direction is being confirmed as looking at the rear view mirrors or
- driver head movement is confirmed as primarily directed towards the driving task.

The specification for confirming these or equally safe criteria must be declared by the manufacturer and supported by documented evidence. This shall be assessed by the technical service according to Annex Y (CEL).

**Commented [KH7]:** A driver can have their head directed forward or towards the driving task but have their eyes directed in a completely different direction. To determine whether a driver is visually attentive is by monitoring the drivers eye position or movement, as such, this requirement should be excluded from the regulation.

Please refer to Section 1.2 Driver attentiveness in the corresponding document for a detailed explanation

## 2.7. Transition Demand and System Operation during Transition phase

The fulfilment of the provisions of this paragraph shall be demonstrated by the manufacturer to the technical service during the inspection of the safety approach as part of the assessment to Annex Y [CEL] and according to the relevant tests in Annex X.

2.7.1. The activated system shall recognise all situations in which it needs to transition the control back to the driver.

**Commented [KH8]:** These situations are not defined, as such manufacturers will only ensure their system recognises the situations which they have identified as needing a transition demand. This could result in some situations not being accounted for by a system. Thus, it is recommended that safety critical situations are specified in the regulation as a minimum requirement.

Please refer to Section 3.1 Types of situations in the corresponding document for a more detailed explanation

2.7.2. The timing of the transition demand shall be such that sufficient time is provided for a safe transition to manual driving.

2.7.2.1 In case of any failure of the system or of any function needed for the operation, the system shall immediately initiate a transition demand upon detection.

2.7.3. During the transition phase the system shall continue to operate. The system may reduce the speed of the vehicle to ensure its safe operation but shall not bring it to standstill unless required by the situation (e.g. due to vehicles or obstacles obstructing the path of the vehicle).

2.7.3.1. Once in standstill the vehicle may remain in this condition and shall then activate the hazard warning lights within 5 s.

2.7.3.2. During the transition phase, the transition demand shall be escalated latest after 4 s after the start of the transition demand.

2.7.4. A transition demand and phase shall only be terminated once the system is deactivated or a minimum risk manoeuvre has started.

2.7.4.1. In case the driver is not responding to a transition demand by deactivating the system either manually as per paragraph 2.4.4. or automatically as per paragraph 2.4.5, a minimum risk manoeuvre shall be started automatically, earliest 10 s after the start of the transition demand.

**Commented [KH9]:** Clarification: From our understanding, the 10 second requirement is the minimum requirement for the transition phase (i.e. duration). Is this correct and, if so, where did this value come from?

2.7.4.1.1. Notwithstanding paragraph 2.7.4.1. a minimum risk manoeuvre may be initiated immediately in case of a severe ALKS or severe vehicle failure. It is recognized that in case of a severe vehicle failure the ALKS may no longer be capable of fulfilling the requirements of this Regulation, but it shall aim at enabling a safe transition of control back to the driver.

Corresponds to Section 4.2 Minimum requirement for the initiation of a minimum risk manoeuvre in the corresponding document.

2.7.4.1.3. The manufacturer shall declare the types of severe vehicle failures and severe ALKS failures that will lead the ALKS to initiate a MRM immediately.

## 2.8. Information to the driver

The fulfilment of the provisions of this paragraph shall be demonstrated by the manufacturer to the technical service during the inspection of the safety approach as part of the assessment to Annex Y [CEL] and according to the relevant tests in Annex X.

2.8.1. The following information shall be indicated to the driver:

- the system status as defined in paragraph 2.8.2.,
- any failure of the system with at least an optical signal unless the system is deactivated (off mode),
- transition demand by at least an optical and in addition an acoustic and/or haptic warning signal,
- minimum risk manoeuvre by an optical signal and either an acoustic or a haptic warning signal and
- emergency manoeuvre by an optical warning signal.

### 2.8.2. System status

#### 2.8.2.1. System unavailability indication

In case activation of the system following the deliberate action of the driver is denied by the system due to system unavailability, this shall be at least optically displayed to the driver.

#### 2.8.2.2. System status display when activated

The system status (active mode) shall be displayed by an optical signal to the driver and shall indicate the active system state until the system is deactivated (off mode).

This optical signal shall exclusively be used for the system status display of ALKS.

The optical signal shall fulfil the following minimum requirements:

- easily perceptible for the driver in the peripheral field of vision and located near the direct line of driver's sight to the outside in front of the vehicle, e.g. using a dedicated colour light used only for ALKS displayed on the steering control and covering at least 40 % of the outer rim perimeter facing towards the driver,
- adequate size (easily readable from permitted seating position),
- colours of indicators contrast adequately from background colour and confirm to convention or stereotypes and
- commonly accepted or standardized symbols are used.

An adequate and equally perceptible interface design for the optical signal may be declared by the manufacturer and shall be supported by documented evidence. This shall be assessed by the Technical Service according to Annex Y (CEL).

**Commented [KH10]:** The wording is slightly different in these two bullet points. To eliminate confusion, consider making this wording consistent.

Please see Section 2.2.3 Information presented to the driver in the corresponding document for a more detailed explanation and an example

2.8.2.3. System status display when deactivated

When the system status changes from active mode to off mode, this shall be indicated to the driver by at least an optical and an acoustic warning signal. This optical signal shall be realized by non-displaying the optical signal used to indicate the active mode.

The acoustical signal shall raise attention (loud and clear).

2.8.3. Transition Phase and Minimum Risk Manoeuvre

During the transition phase and the minimum risk manoeuvre, the system shall provide an interface to instruct the driver in an intuitive and unambiguous way to take over manual control of the vehicle. Optical signals shall be of adequate size and colours of indicators shall contrast adequately from background colour and confirm to convention or stereotypes. The instruction shall include pictorial information showing hands and the steering control and may be accompanied by additional explanatory text or warning symbols, as shown in the example below.



Example 1.

Example 2.

2.8.3.1. During the transition phase, in order to emphasize the urgency for the driver to take over manual control of the vehicle, the optical signal for system status display (as described in paragraph 2.8.2.2.) shall be accompanied e.g. by blinking/flashing of the dedicated colour displayed on the steering control (only slow blinking/flashing so as not to upset the driver).

2.8.3.2. With the start of the minimum risk manoeuvre, the given signal shall change its characteristics to emphasize the urgency of an action by the driver, e.g. by red flashing of the steering control and moving hands of the pictorial information.

2.8.4. Prioritization of ALKS warnings

**Commented [KH11]:** "Confirm" needs to change to "conform"

**Commented [KH12]:** It is unclear whether the transition phase and minimum risk manoeuvre optical signals can be situated in the same place as the interface for the active mode or system unavailability optical signals. This should be made clearer and stated in the text

Please see Section 2.3 Dual purpose or co-located interface optical signals in the corresponding document for a more detailed explanation.

The warnings of an ALKS during a transition phase, a minimum risk manoeuvre or an emergency manoeuvre may be prioritized over other warnings in the vehicle.

The prioritization of different acoustic and optical warnings during the ALKS operation shall be declared by the manufacturer to the Technical Service during Type Approval.2.9. Minimum Risk Manoeuvre (MRM)

The fulfilment of the provisions of this paragraph shall be demonstrated by the manufacturer to the technical service during the inspection of the safety approach as part of the assessment to Annex Y [CEL] and according to the relevant tests in Annex X.

- 2.9.1. During the minimum risk manoeuvre the vehicle shall be slowed down inside the lane or, in case the lane markings are not visible, remain on an appropriate trajectory taking into account surrounding traffic and road infrastructure, with a deceleration demand not greater than  $4 \text{ m/s}^2$ .
- Higher deceleration demand values are permissible for very short durations, e.g. as haptic warning to stimulate the driver's attention, or in case of a severe ALKS or severe vehicle failure.
- Additionally, the hazard warning lights shall be activated with the start of the minimum risk manoeuvre.
- 2.9.2. The minimum risk manoeuvre shall bring the vehicle to standstill unless the system is deactivated during the manoeuvre.
- 2.9.3. In case the ALKS is capable of performing lane change manoeuvres during the MRM, including to the hard shoulder, this shall only be permitted if the situation is not critical.
- Such lane changes are deemed critical either if there is a risk of a collision with another road user in the target lane, or if an approaching vehicle in the target lane would have to decelerate at a higher level than  $3 \text{ m/s}^2$ ,  $0.4 \text{ s}$  after the ALKS vehicle has crossed the lane marking, to ensure the distance between the two vehicles is never less than that which the ALKS vehicle travels in  $1 \text{ s}$ .
- Any lane change shall be indicated to other road users according to traffic law and the hazard warning lights may be suppressed during the lane change manoeuvre.
- The system's safety strategies shall be declared and proved with evidence by documentation to the Technical Service.
- 2.9.4. A minimum risk manoeuvre shall only be terminated once the system is deactivated or the system has brought the vehicle to a standstill.
- 2.9.5. The system shall be deactivated at the end of any minimum risk manoeuvre.
- The hazard warning lights shall remain activated unless deactivated manually and the vehicle shall not move away after standstill without manual input.
- 2.9.6. Reactivation of the system after the end of any minimum risk manoeuvre shall only be possible after each new engine start/run cycle.
- 2.10. Emergency Manoeuvre (EM)

The fulfilment of the provisions of this paragraph shall be demonstrated by the manufacturer to the technical service during the inspection of the safety approach as part of the assessment to Annex Y [CEL] and according to the relevant tests in Annex X.

2.10.1. An emergency manoeuvre shall be carried out only in case of an imminent collision risk as described in paragraph 2.5.5.

2.10.2. This manoeuvre shall decelerate the vehicle up to its full braking performance if necessary and/or perform an automatic evasive manoeuvre, whichever is the most appropriate.

During the evasive manoeuvre the ALKS vehicle shall not cross the lane marking (outer edge of the front tyre to outer edge of the lane marking) [unless the system is capable of confirming and has confirmed that no critical situation would result from this manoeuvre].

The situation is deemed critical either if there is a risk of a collision with another road user in the evasive path, or if a vehicle approaching from the rear in the evasive lane [with the allowed or advised maximum speed] would be forced to decelerate at a higher level than  $[3] \text{ m/s}^2$ , 0.4 s after the ALKS vehicle has crossed the lane marking, to ensure the distance between the two vehicles is never less than that which the ALKS vehicle travels in 1 s

After the evasive manoeuvre the vehicle shall aim at resuming a stable position either in its original or the adjacent lane of travel.

The system shall demonstrate its capabilities to assess the criticality of an evasive manoeuvre crossing lane markings according to the relevant test in Annex X.

2.10.3. An emergency manoeuvre shall only be terminated as soon as the collision risk disappeared or in case of a system override by the driver.

2.10.3.1. After an emergency manoeuvre the system shall continue to operate and initiate a transition demand.

2.10.3.2. Once the emergency manoeuvre has led the vehicle to standstill, the hazard warning lights shall be activated unless the ALKS will drive-off.

2.10.4. The vehicle shall implement a logic signal indicating emergency braking as specified in UN R13H or UN R13 as appropriate.

2.11. System information data

2.11.1. The following data shall be provided, together with the documentation package required in Annex [X] of this UN Regulation, to the Technical Service at the time of type approval.

2.11.2. A list of types of situations in which the vehicle may generate a transition demand to the driver.

2.11.3. Information about how the system detects that the driver is available to take over the control.

2.11.4. The means to monitor the driving environment.

2.11.5. The means to activate, override or deactivate the system including the strategy how the system is protected against unintentional deactivation, the threshold

**Commented [KH13]:** If not already included, it is advised that this is demonstrated by the manufacturers and is included in the documentation package required in Annex [Y] [CEL].

Please refer to Section 2.1 Driver Availability Recognition System effectiveness in the corresponding document for a more detailed explanation

values for a steering override and how the system assesses that the driver has directed his gaze to the driving task.

- 2.11.6. Information about how the software version(s) and the failure warning signal status can be readable in a standardized way via the use of an electronic communication interface, at least be the standard interface (OBD port).
- 2.11.7. Description of the types of severe vehicle failures and severe ALKS failures that will lead the ALKS to initiate a MRM immediately.
- 2.11.8. For driving situations not covered by the tests of Annex [X], the safe operation of the system shall be demonstrated by the vehicle manufacturer on the base of Annex X of this Regulation.
- 2.11.9. Installation  

The manufacturer shall provide information regarding the installation options that will be employed for the individual components that comprise the sensing system. These options shall include, but are not limited to, the location of the component in/on the vehicle, the material(s) surrounding the component, the dimensioning and geometry of the material surrounding the component, and the surface finish of the materials surrounding the component, once installed in the vehicle. The information shall also include installation specifications that are critical to the system's performance, e.g. tolerances on installation angle.

Changes to the individual components of the sensing system, or the installation options, shall be notified to the Type Approval Authority and be subject to further assessment.
- 2.11.10. The system behaviour during a MRM.
- 2.11.12. The system behaviour during an EM.
- 2.12. Data Storage System for Automated Driving (DSSAD)<sup>3</sup>
- 2.12.1. Any vehicle with an automated driving function such as ALKS must be equipped with a DSSAD which must fulfil the requirements as specified in [Regulation X or Annex X of this Regulation].
- 2.13. Cyber security<sup>4</sup>

Annex [X] Testing ALKS [*To be discussed*]

Annex [X] Complex Electronic Systems<sup>5</sup>

<sup>3</sup> To be revised in accordance with IWG EDR/DSSAD and subject to GRVA decision.

<sup>4</sup> To be revised in accordance with TF CS/OTA and subject to GRVA decision.

<sup>5</sup> To be revised in accordance with IWG VMAD and subject to GRVA decision.